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Stéphane Wittmann, Thomas Martzel, Cong Thanh Pham Truong, Martial Toffano, Sylvain Oudeyer, et al.. Alkylidene Meldrum's Acids as Platforms for the Vinylogous Synthesis of Dihydropyranones. *Angewandte Chemie International Edition*, 2021, 60, pp.11110-11114. 10.1002/anie.202014489 . hal-03200519

HAL Id: hal-03200519

<https://hal-normandie-univ.archives-ouvertes.fr/hal-03200519>

Submitted on 16 Apr 2021

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Alkylidene Meldrum's Acids as Novel Platforms for the Vinylogous Synthesis of Dihydropyranones

Stéphane Wittmann,^{+[a]} Thomas Martzel,^{+[b]} Cong Thanh Pham Truong,^[a] Martial Toffano,^[a] Sylvain Oudeyer,^[b] Régis Guillot,^[a] Chloée Bournaud,^[a] Vincent Gandon,^[a,c] Jean-François Brière,^{*[b]} and Giang Vo-Thanh^{*[a]}

Dedication ((optional))

Abstract: Upon Brønsted base organocatalysis, ketone derived alkylidene Meldrum's acids proved to be competent vinylogous platforms able to undergo a formal (4+2) cycloaddition reaction with dihydro-2,3-furandione to furnish an unprecedented route to 3,6-dihydropyran-2-ones **5** as spiro[4,5]decane derivatives with up to 98% ee thanks to the commercially available Takemoto catalyst. Preliminary investigation showed that this reaction could be extended to other activated ketones establishing these alkylidene Meldrum's acids as a novel C4-synthon in the vinylogous series.

The principle of vinylogy, as remarkably illuminated by Fuson in 1935,^[1] allows the remote functionalization of a given functional group thanks to the propagation of electronic effects through a conjugated unsaturated backbone (Scheme 1A). More recently, synthetic chemists have tackled the more challenging regio- and enantioselective bond-forming events at remote positions of various electron-withdrawing groups by means of metal or organic catalysts.^{[2],[3]} This methodology was especially illustrated by the groups of Jørgensen, Deng and Chen during the pioneering organocatalytic direct δ -functionalization of α,α -dicyanoolefins platforms.^[4] The marked versatility of this vinylogous strategy has witnessed several achievements encompassing the use of various substrates suited for direct organocatalytic activation sequences.^{[2],[3]} Upon the initiatives of Peters and Ye,^{[5],[6]} the catalytic vinylogous transformation of crotonyl-based platforms, such as α,β -unsaturated acid chlorides, opened an avenue to the elaboration of 5,6-dihydropyran-2-ones **1** (Scheme 1A), a heterocyclic core-structure found in numerous bioactive compounds.^{[2],[3]} By means of chiral *N*-Heterocyclic Carbenes (NHC) or amines (R_3N , Scheme 1A),^{[7],[8]} efficient (4+2) annulation reactions were subsequently carried out with ketone derivatives

and provided thereby the corresponding oxa-heterocycles **1** with the control of a congested tetrasubstituted stereocenter, even embedded into highly valuable spiro architectures.^[9] Nevertheless, to the best of our knowledge, this strategy has exclusively led to 5,6-dihydropyran-2-ones **1**, with a conjugated carbon-carbon double bond to the C=O group (via C4 synthon **2**). In consequence, the development of novel platforms expanding the scope of this valuable vinylogous methodology is still of high interest.

Scheme 1. Novel organocatalytic vinylogous synthesis of dihydropyran-2-ones.

In that vein, we started to investigate the alkylidene Meldrum's acid derivatives **3**, as an original platform in vinylogous series (Scheme 1B). These compounds are stable and readily available in one-step by the condensation reaction between Meldrum's acid and ketones.^[10] With regard to the remarkable high acidity of the 2,2-dimethyl-1,3-dioxan-4,6-dione, namely Meldrum's acid ($pK_a = 4.8$ in water),^[11] we anticipated a facile deprotonation of the distant methyl group of **3**, so that the formation of the enolate **6** with a γ -nucleophilic site would occur,^[12] following the principle of vinylogy. Then, taking advantage of the marked electrophilic properties of carbonyl moieties of the 1,3-dioxan-4,6-dione backbone, the platform **3** was expected to be capable of undergoing a formal (4+2) cycloaddition with ketones through a domino aldol/cyclocondensation reaction towards the construction of dihydropyran-2-one. We are pleased to report on the alkylidene Meldrum's acid derivatives **3** as a novel precursor

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COMMUNICATION

in enantioselective vinylogous transformations upon Brønsted base organocatalysis. The exquisite reactivity of platform **3** allows an unprecedented entry to non-racemic 3,6-dihydropyran-2-ones **5**, having a non-conjugated carbon-carbon double bond (via synthon **6'** instead of **2**) which, accordingly, extends the chemical space in the dihydropyran-2-one heterocyclic series.

This project began by the exploration of alkylidene Meldrum's acid **3** reactivity as a potentially vinylogous platform (Scheme 2). To our delight, the model benzylidene Meldrum's acid **3a** and dihydro-4,4-dimethyl-2,3-furandione **4a** as electrophile led straightforwardly to the formation of virtually novel spiranic 5,6-dihydropyran-2-ones **1aa** in the presence of 20 mol% of DBU as a base (unoptimized conditions).^[13] This smooth domino sequence could be applied to an array of diketones **4b-e** to afford the corresponding 5,6-dihydropyran-2-ones **1ab-1ae** derivatives as major products in yields ranging from 47% to 72%.

Scheme 2. Alkylidene Meldrum's acid **3a** as an original vinylogous platform. Percentage correspond to the isolated yield after column chromatography, and the ratio of isomers (**1:5**) was determined by ¹H NMR on the crude product. [a] In THF (0.2 M); only traces of **5a-** was observed. [b] In CH₂Cl₂ (0.4 M).

Surprisingly, in the course of these processes, we also detected minor amounts of the non-conjugated 3,6-dihydropyran-2-ones **5**. By means of triethylamine as a softer organocatalyst in dichloromethane, the outcome of the reaction was essentially balanced towards the construction of 3,6-dihydropyran-2-one isomers **5aa-ad** with yields ranging from 54–72%, except for the more reactive keto-ester **4e** giving the known 5,6-dihydropyran-2-ones **1ae** in good 82% yield.^[7a] The structure of the novel compound **5aa** was fully proven especially by X-Ray single crystal diffraction.^[14] Interestingly, the 3,6-dihydropyran-2-one product **5aa** isomerized into the conjugated isomer **1aa** in the presence of Et₃N for 24 hours. Then, we assume that **1aa** (thermodynamic product) is mainly originated from the kinetic product **5aa** during this domino sequence, whose outcome depends on both the strength of the base and the stability and/or acidity of the intermediates. Accordingly, alkylidene Meldrum's acid derivative

3a proved to be an original and versatile vinylogous platform, while affording an unprecedented pathway to original 3,6-dihydropyran-2-ones **5**. Furthermore, the usefulness of these products was demonstrated through the stereoselective epoxidation reaction which took place regioselectively without C-C double bond migration (**7**, 74%). Then, we embarked towards the development of an organocatalytic enantioselective version.

Scheme 3. Towards an enantioselective process. Reaction conditions: **3a** (0.15 mmol), ketone **4a** (1 equiv), **catalyst** (20 mol%) in the given solvent (0.5 M) at 20 °C for 24 hours. Percentage of isolated yield after column chromatography, enantiomeric excess (ee) determined by chiral HPLC and the ratio of isomers (**5aa:1aa**) was determined by ¹H NMR on the crude product. [a] 94:6 (**5aa:1aa**) was measured.

Various Cinchona-derived organocatalysts (see SI for full details) were first assessed for the transformation of the dihydro-4,4-dimethyl-2,3-furandione **4a** to 3,6-dihydropyran-2-ones **5aa** (Scheme 3). The bifunctional thiourea organocatalyst **A2** (60% yield, 88% ee) proved to be more effective than the native quinine **A1** (36% yield, 55% ee and reverse enantioselectivity) or the sulfonamide derivatives **A3** (24%, 47% ee) both in terms of yields and ee. This marked outcome with regard to bifunctional-topology was also observed with organocatalysts **A4-A6**, having a cyclohexanediamine backbone, albeit lower yields were measured probably due to the sterically hindered piperidine moiety. Nevertheless, the squaramide derived catalyst **A6** provided 91% ee in 36% yield. Importantly, in this series, the catalyst **A5** having an amide functional group led to inverse enantioselectivity (30% ee) in comparison to thiourea or squaramide analogues **A4** and **A6**, showing the key importance of the hydrogen bonding donor moiety. To our delight, the less encumber catalysts **A7-A8**, having a dimethylamine moiety, furnished the 3,6-dihydropyran-2-ones **5aa** with improved 64–75% yields and up to 93% ee for the original Takemoto catalyst **A8**. Interestingly, the catalysts **A7-A8** possessing a NMe₂ Brønsted base display a reverse influence of the NH-hydrogen bonding donor part onto ee as the thiourea derivative **A8** led to better ee

COMMUNICATION

than the squaramide **A7** in the contrary to piperidine derivatives **A4** and **A6**; then a subtle cooperation between the two functionalities of the catalyst is set for this new transformation (**3a** to **5aa**). An optimization of reaction conditions with catalyst **A8** showed that toluene furnished improved ee (94%) and yields (89%) albeit with the formation of a small amount of 5,6-dihydropyran-2-ones **1a**. Nonetheless, the selectivity between isomers **5aa:1aa** was in favor of the 3,6-dihydropyran-2-ones **5aa** (> 98/2 **5aa:1aa**) at 10 °C, obtained in 77% isolated yield and excellent 96% ee, while lower temperature (0 °C) led to a drop of yield (38%).

Table 1. Scope and limitations.^[a]

<ul style="list-style-type: none"> • 5aa, R¹ = Ph, 89%, 96% ee, >98:2 (5aa:1aa)^[a,g] 85%, 95% ee, >98:2 (5aa:1aa)^[a,b] • 5ba, R¹ = 4-MeC₆H₄, 72%, 96% ee, >98:2 (5ba:1ba)^[c] • 5ca, R¹ = 2-naphthyl, 79%, 88% ee, >98:2 (5ca:1ca)^[c] • 5da, R¹ = 4-MeOC₆H₄, 79%, 95% ee, 95:5 (5da:1da)^[c] • 5ea, R¹ = 4-ClC₆H₄, 61%, 93% ee, >98:2 (5ea:1ea)^[a] • 5fa, R¹ = 4-(NO₂)C₆H₄, 78%, 76% ee, 98:2 (5fa:1fa)^[c,d] • 5ga, R¹ = 3-(NO₂)C₆H₄, 78%, 86% ee, 90:10 (5ga:1ga)^[c] • 5ha, R¹ = 4-(CN)C₆H₄, 70%, 88% ee, >98:2 (5ha:1ha)^[a] • 5ia, R¹ = 2-furan, 79%, 96% ee, >98:2 (5ia:1ia)^[e] • 5ja, R¹ = 2-thienyl, 76%, 98% ee, >98:2 (5ja:1ja)^[a] • 5ka, R¹ = 4-(CF₃)C₆H₄, 33%, 92% ee, >98:2 (5ka:1ka)^[f] • 5la, R¹ = 2-ClC₆H₄, 63%, 56% ee, >98:2 (5la:1la)^[c] • 5ma, R¹ = Me, 53%, 73% ee, 83:17 (5ma:1ma)^[a] • 5na, R¹ = (<i>E</i>)-PhCHCH, 88%, 91% ee, >98:2 (5na:1na)^[f] 	
<p>From ketone 4a</p>	
<p>Unreactive precursor</p>	
<p>5pa, 64%, 75% ee >98:2 (5pa:1pa)^[c]</p>	
<p>5ab, 83%, 70% ee >98:2 (5ab:1ab)^[c] from ketone 4b</p>	
<p>5ad, 51%, 87% ee >98:2 (5ad:1ad)^[a,g,h] from ketone 4d</p>	
<p>• 1af, R = NO₂, 76%^[f] 94% ee, 86:14 (5af:1af) from ketone 4f</p>	
<p>• 5ag, R = H, no reaction from ketone 4g^[c]</p>	

Reaction conditions: **3** (0.15 mmol), ketones **4** (1 equiv), catalyst **A8** (20 mol%) in PhMe (0.5 M) at 10 °C. Percentage of isolated yield of the pure major isomer **5** after column chromatography (unless otherwise noted), enantiomeric excess (ee) determined by chiral HPLC and the ratio of isomers (**5:1**) was determined by ¹H NMR on the crude product. [a] 40 hours of reaction. [b] On 1 mmol scale. [c] 90 hours of reaction. [d] in CH₂Cl₂ for solubility issue. [e] At 20 °C in CH₂Cl₂ for 72 hours. [f] 72 hours of reaction. [g] The absolute configurations were determined by X-Ray diffraction for (*R*)-**5aa** and from similar structure in the literature for (*R*)-**5ad**^[6] (see SI); the absolute stereochemistry of the other products was drawn by analogy. [h] 1.3 equivalent of alkylidene Meldrum's acid **3a** and catalyst **A3** was used instead of **A8** which gave **5ab** in 74% yield and 55% ee (see SI).

Having these conditions in hands, the substrate scope was addressed (Table 1). Increasing the reaction time to 40 hours allowed the formation of the expected product **5aa** in 89% yield while keeping an excellent enantiomeric excess of 96% ee, even on 1 mmol scale (85%, 95% ee). A series of alkylidene Meldrum's acid derivatives **3b-3j** were engaged into a vinylogous transformation of dihydro-4,4-dimethyl-2,3-furandione **4a** into 3,6-dihydropyran-2-ones **5ba-5ja** as major products with yields between 61-79% and ees ranging from 76% to 98%. The alkylidene Meldrum's acid flanked by a 4-CF₃C₆H₄ moiety led to the corresponding 3,6-dihydropyran-2-ones **5ka** with an excellent 92% ee but a moderate yield of 33% (42% of conversion). The conversion could be improved (> 90% of conversion) after 90h of reaction but a lower ratio of **5ka:1ka** (74:26) was measured. In this series, product **5la** obtained from the Meldrum's acid derivative **3l**, having a 2-chlorophenyl moiety, proved to be more challenging (Table 1). Then, only 77% of conversion after 90 hours at 10 °C was measured giving product **5la** in moderate 63% isolated yield and 56% ee. In order to further probe the structure/activity relationship, one can consider that alkylidene Meldrum's acid flanked by two methyl group is still able to be involved into this aldol process, albeit lower reaction rate (67% of conversion) and selectivity (**5ma:1ma** 83:17) was observed giving **5ma** in 53% yield and 73% ee. On the other hand, the original product **5na**, having a versatile styrenyl group, was synthesized easily in 91% ee and 88% yield from the corresponding dienyl Meldrum's acid **4n**. Additionally, although more sterically hindered ethyl-derivative **3o** proved to be unreactive, the cyclic-analogue, namely the indenyl-derived Meldrum's acid **3p**, was transformed into the corresponding tetracyclic product **5pa** in 75% ee (64% yield). Subsequently, we wondered whether this Meldrum's acid-based platform **3a** could react with other ketones, known as good starting materials for the efficient enantioselective vinylogous synthesis of 5,6-dihydropyran-2-ones **1**.^{[7],[8a-e, 8g-i]} However, in our case, we expected to extend the chemical diversity with the formation of isomeric and non-racemic 3,6-dihydropyran-2-one architectures **5**. Accordingly, the transformation of various ketones **4b**, **4d**, **4f-g** proceeded with moderate to good yields (50-83%) in favor of the major 3,6-dihydropyran-2-one products. However, the diketones **4b**^[8e] and **4d**^[6] were transformed into products **5ab** and **5ad** in only 70% and 55% ee respectively. To our delight, by means of *epi*-tosylamide quinine catalyst **A3** instead of **A8**, the *spiro*-oxindole **5ad** was obtained with improved 87% ee. In spite of the less activated phenyl α-keto esters **4g** did not react in these conditions,^[8f] the more electrophilic *para*-NO₂-phenyl α-keto esters **4f** furnished the corresponding dihydropyranones **5af** and **1af** as a 86:14 mixture of isomers as determined on the crude product. However, a complete isomerization of this mixture into the 5,6-dihydropyran-2-one product occurred during purification by silica gel column chromatography to afford **1af** in good 76% yield and 94% ee.^[15]

On the basis of preliminary set of computations with trimethylamine as a model organocatalyst (see SI for full details), a proposed catalytic cycle is shown in Scheme 4. First, the deprotonation of alkylidene Meldrum's acid **3a** gives the enolate **6a** flanked by the H-bonded tertiary ammonium (R₃NH⁺). Then, the addition to the ketone-electrophile **4a** gives the adduct **8aa** (enantioselective step), though a transition state (TS_{6.8} = 19.2

kcal/mol) showing a complete proton-transfer from the ammonium to the alcohol-product **8aa**. The subsequent cyclization leads to the *ortho*-ester **9aa** along with a base-promoted proton migration. Importantly, it was found that the alternative (4+2) cycloaddition reaction (**6a** + **4a** to **9aa**) was more energetically demanding than the nucleophilic addition of dienolate **6a** to **4a** (24.9 vs 19.2 kcal/mol). The subsequent fragmentation process liberates a molecule of acetone to furnish the carboxylate **10aa**.^[16] Although the detail of the formal symmetry forbidden 1,3-H shift was not easy to address from the computational point of view, it is believed that a C-C double bond isomerization occurs to reveal the hemi-malonate backbone of **11aa**. This Csp³-CO₂ framework is then able to undergo a stepwise decarboxylation/tautomerization sequence (TS₁₁₋₁₂ = -6.2 kcal/mol) to eventually deliver the kinetic product **5aa**. Importantly, by means of a stronger base or longer reaction time an equilibration event occurs leading to the C-C double bond migration of **5aa** to provide the more stable 5,6-dihydropyran-2-one **1aa** having a conjugated alkene to the carbonyl functional group (via a formal protonation to γ -position).

Scheme 4. Proposed mechanism based on DFT calculations. [a] The computed free energies (ΔG_{298}) of intermediates and transition states (TS) are given in kcal/mol in brackets with Me₃N as catalyst (selected distances in Å).

In conclusion, we have shown that ketone derived alkylidene Meldrum's acids **3** are novel C4-synthon capable of being engaged into a vinylogous formal (4+2) cycloaddition reaction with reactive ketones to furnish an unprecedented route to 3,6-dihydropyran-2-ones **5** upon Brønsted base catalytic conditions. By means of the commercially available bifunctional Takemoto organocatalyst up to 98% ee were obtained, especially for original spiro compound 3,6-dihydropyran-2-ones **5**. The exploitation of this novel and readily available vinylogous platform in enantioselective transformations and the use of original products obtained thereby is currently under investigation.

Acknowledgements

We are grateful to the Charm3At-SynOrg Interlabex for a post-doctoral fellowship to S. Wittmann, the CNRS (UMR 8182) and the University Paris Saclay for financial supports. We also thank Emilie Kolodziej for technical assistance with the HPLC analysis. This work has been partially supported by INSA Rouen Normandy, University of Rouen Normandy, the Centre National de la Recherche Scientifique (CNRS), EFRD, and Labex SynOrg (ANR-11-LABX-0029), and by Region Normandie (CRUNCH network). VG thanks Ecole Polytechnique and the CINES (project A0070810977) for computational resources.

Keywords: asymmetric synthesis • dihydropyranones • Meldrum's acid • organocatalysis • vinylogy

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- [14] CCDC 1998088, 1998089 and 1992496 contain the supplementary crystallographic data for compound (+/-)-**1aa**, (+/-)-**5aa** and (*R*)-**5aa**.
- [15] The vinylogous addition of **3a** successfully took place to acyclic trifluoroacetophenone (67% yield and 93% ee) and *para*-CN-phenyl α -keto ethylester (50% yield and 82% ee) but led to the corresponding 5,6-dihydropyran-2-ones **1** due to an isomerization event for a prolonged reaction time and purification on silica gel column chromatography.
- [16] In the presence of tetramethylguanidine, an intermediate like **10aa**, as a guanidinium carboxylate salt, precipitated out of the solution to provide a crystal suited for X-Ray diffraction analysis (see SI).

COMMUNICATION

Entry for the Table of Contents (Please choose one layout)

COMMUNICATION

Disubstituted alkylidene Meldrum's acids proved to be novel C4-synthon capable of undergoing a vinylogous formal (4+2) cycloaddition reaction with reactive ketones to furnish an unprecedented route to chiral 3,6-dihydropyran-2-ones upon Brønsted base organocatalytic conditions.

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Page No. – Page No.

**Alkylidene Meldrum's Acids as Novel
Platforms for the Vinylogous
Synthesis of Dihydropyranones**