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## Predictors of Treatment Response in Rheumatoid Arthritis

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## **ABSTRACT**

The expanding array of drugs available for treating rheumatoid arthritis is creating challenges in drug selection for the individual patient. The identification of biomarkers that predict the treatment response prior to drug exposure is therefore a current priority. This new approach, known as theranostics, is a component of personalized medicine, which involves selecting the management strategies that are most effective for a given patient at a given point in time. Antibodies to citrullinated peptides, rheumatoid factor, and the interferon signature are the most robust and best validated biomarkers identified to date. Matrices containing clinical or laboratory parameters of diagnostic or prognostic relevance may help to select the best treatment for the individual patient. Furthermore, the development of large-scale approaches requiring no a priori knowledge, such as functional genomics and metabolomics, hold considerable promise, despite persistent difficulties in replicating findings. The complexity of the treatment response in a given patient and substantial variability across patients suggest that biomarkers may be more helpful in combination than singly. The objectives of this review article are to discuss the approaches used to identify theranostic biomarkers and to present an overview of currently available biomarkers and of their performance in everyday clinical practice. However, the range of biomarkers suitable for use in daily practice remains extremely narrow.

**Keywords:** Rheumatoid arthritis. Biomarkers. Response prediction. Theranostics.

Transcriptome. Proteome.

## 1. INTRODUCTION

The burgeoning assortment of drugs available for treating rheumatoid arthritis (RA) is creating challenges in selecting the best drug for a given patient at a given time. When treatment with a synthetic disease-modifying antirheumatic drug fails, 11 biologic options, without counting biosimilars, are available. These 11 drugs belong to 6 different drug classes with different pathophysiological targets: tumor necrosis factor (TNF)  $\alpha$  antagonists, the interleukin (IL)-1 $\beta$  antagonist anakinra, antibodies to the IL-6 receptor (tocilizumab and sarilumab), the anti-CD20 antibody rituximab, the cytotoxic T-lymphocyte-associated protein 4 (CTLA-4)-Ig abatacept, and the janus kinase inhibitors such as baricitinib and tofacitinib. All these biologics produce the same clinical remission rate of only 10% to 30%, and their efficacy varies over time in individual patients. A personalized approach based on the identification of clinical and/or laboratory predictors of the treatment response in individual patients should therefore prove useful.

Treatment response prediction means that each patient can be informed of the likelihood of a response before being exposed to the drug and that drugs with little potential for efficacy can be avoided, thereby saving time, minimizing costs, and improving the risk/benefit ratio. The rationale for treatment response prediction lies in the pathophysiological, clinical, and therapeutic heterogeneity of RA. Many studies have demonstrated that patients who present with identical clinical manifestations differ markedly regarding their synovial membrane infiltrates and profile of cytokine and gene expression [1-3]. RA may therefore be better viewed as a syndrome than a disease, with variable contributions of autoimmune and/or inflammatory mechanisms across patients and over time. These differences in patients and time points should therefore be identifiable via differences in biomarkers. The first step to identifying treatment response predictors consists

in determining what qualifies as a treatment response. Then, the most robust treatment response predictors, or theranostic biomarkers, must be identified. Finally, the approaches used to identify theranostic biomarkers, and those emerging for the future, deserve to be discussed.

## **2. DEFINING A TREATMENT RESPONSE**

To identify treatment response predictors, candidates must be assessed in both responders and nonresponders. Therefore, the response to treatment becomes an endpoint that must be defined. Options include the EULAR criteria, criteria for remission, CDAI criteria, ACR criteria, and the structural response. Although the structural response remains the ultimate treatment goal, it is particularly challenging to consider given the variations in structural disease progression across patients having the same degree of disease activity. The pace of structural disease progression varies in an apparently random manner from one patient to the next. In addition, treatment failure may occur as a primary event (0 to 6 months) or as an escape phenomenon whose time to occurrence can vary widely with all the available drugs. The best time for assessing the treatment response is also in doubt (3, 6, or 12 months, or more). Furthermore, in clinical practice the effect of treatment is not binary. In a study of 406 patients given etanercept or infliximab to treat RA, the distributions of outcome parameter values were normal or somewhat skewed but never bimodal, suggesting that the biological mechanisms underpinning the treatment response are multifactorial [4]. Thus, the response is dependent on numerous and complex factors, and biomarkers are therefore more likely to be useful in combination than singly. Finally, the differences across studies in the definition of a treatment response complicates the interpretation of the data.

### **3. Biomarkers identified using *a priori* approaches**

#### **3.1. Standard clinical and laboratory biomarkers**

A reasonable assumption was that the clinical and laboratory markers used to establish the diagnosis or prognosis of RA might also help to predict the treatment response. However, numerous studies in patients given TNF $\alpha$  antagonists showed that the only markers in this group of potential usefulness as treatment response predictors were the Health Assessment Questionnaire (HAQ) score, IgA rheumatoid factors (RFs), and anti-citrullinated peptide antibodies (ACPAs) [5]. Although RFs and/or ACPAs are extremely potent diagnostic tools and are closely associated with a good response to rituximab or abatacept, a metaanalysis showed that they failed to predict the response to TNF $\alpha$  antagonists [5, 6-8]. Convincing data in the literature are too scant to confirm either RFs or ACPAs as robust treatment response predictors. However, as shown by the SCORE charts for predicting cardiovascular risk, matrices that simultaneously include multiple parameters and can be applied to the individual patient hold considerable promise [9]. In 3280 patients given golimumab for RA, a combination of six baseline parameters (male gender, younger age, lower HAQ score, C-reactive protein [CRP] level or erythrocyte sedimentation rate [ESR], tender or swollen joint count, and absence of comorbidities) was effective in predicting remission or low disease activity [9].

#### **3.2. Pathophysiological biomarkers**

##### *3.2.1. Genetic biomarkers*

Many studies have sought to identify gene polymorphisms associated with the response to TNF $\alpha$  antagonists. A metaanalysis of 12 studies including 1721 patients with RA found no evidence that the *G-308A* TNF $\alpha$  polymorphism predicted the response to

TNF $\alpha$  antagonists [10]. Another study, in 1283 patients from nine cohorts, looked at 31 single nucleotide polymorphisms (SNPs) associated with the risk of RA [11]. By multivariate analysis, the only predictor of meeting EULAR criteria for a response to TNF $\alpha$  antagonist therapy was the *PTPRC* polymorphism. This predictor was more powerful in patients with than without ACPAs [11]. The predictive performance of the *PTPRC* polymorphism was confirmed in three large studies including 3153 patients but not in a metaanalysis or in a separate study [12]. In 909 patients taking TNF $\alpha$  antagonists, 187 SNPs spanning 24 genes involved in the toll-like receptor and NF $\kappa$ B signaling systems were genotyped [13]. Among them, eight were associated with a good response to TNF $\alpha$  antagonists, including six for etanercept (*MyD88* [rs7744], *CHUK* [rs11591741 and rs2230804], *IKBKB* [rs10958713], and *TLR-2* [rs5743704 and rs11935252]) and three for infliximab (*NF $\kappa$ BIA* [rs2233407], *TLR-2* [rs11935252], and *TLR-4* [rs7045953]) [13]. However, none of these markers was significantly associated with the treatment response after application of Bonferroni's correction for multiple comparisons. A very recent metaanalysis of data on all polymorphisms identified as potentially relevant by several groups found that SNPs failed to improve prediction of the response to TNF $\alpha$  antagonists when added to standard markers [14]. In addition, the candidate SNPs often had limited discriminating ability for each TNF $\alpha$  antagonist, since the genetic studies were done in patients taking several different TNF $\alpha$  antagonists.

### 3.2.2. Protein biomarkers

Assays of the TNF $\alpha$  protein or messenger RNA in joint fluid, serum, or peripheral-blood mononuclear cells (PBMCs) of patients with RA failed to distinguish between future responders and nonresponders to TNF $\alpha$  antagonists. TNF $\alpha$  bioactivity measured as the ability of plasma to induce IL-6 release by synovial cells separated responders from

nonresponders to infliximab in a study of 198 patients with RA [15]. However, although of interest to research, this bioactivity assay is not available in clinical laboratories and, most importantly, fails to predict the response to each of the various TNF $\alpha$  antagonists. In 72 patients with RA, before the introduction of infliximab therapy, we measured the levels of a host of factors implicated in the pathophysiology of RA including RF and its isotypes, ACPAs, anti- $\alpha$  enolase, anti-calpastatin, anti-keratin, anti-perinuclear factor, anti-glucose-6 phosphate dehydrogenase, metalloproteinases (MMP) -1 and -2 and their inhibitors tissue inhibitors of metalloproteinase (TIMP) -1 and -2, vitamins A and E, selenium, pyridinoline and deoxypyridinoline, soluble receptor activator for nuclear factor  $\kappa$ B ligand (sRANKL), osteoprotegerin (OPG), and cartilage oligomeric matrix protein (COMP) [16]. None of these factors predicted the response to infliximab [16]. In other studies, however, some of these factors (MMP3, COMP, RANKL, or RANKL/OPG ratio) were associated with a good response to infliximab or adalimumab [17-18]. A study of three cohorts of patients with RA used both a protein array of about 545 joint autoantigens and a multiplex assay for 12 cytokines (TNF $\alpha$ , IL-1 $\beta$ , IL-1 $\alpha$ , IL-6, IL-12p40, IL-12p70, IL-15, GM-CSF, FGF-2, MCP-1, eotaxin, and IFN $\gamma$ -inducible protein 10) [19]. The 24 biomarkers identified in serum samples from 29 patients taking etanercept were then validated in 93 patients. Their positive predictive value (PPV) ranged from 58% to 72% and their negative predictive value from 63% to 78% [19]. However, these data have not been replicated.

The Multiple Biomarker Disease Activity (MBDA) tool marketed under the brand name VECTRA<sup>®</sup> is based on serum assays of 12 proteins of interest in RA (IL-6, TNF receptor-1 [TNFR1], vascular cell adhesion molecule-1 [VCAM1], epidermal growth factor [EGF], vascular endothelial growth factor A [VEGF-A], YKL-40, MMP1, MMP3, CRP, serum amyloid A [SAA], leptin, and resistin). A single study has evaluated the performance of this tool for predicting whether infliximab or the methotrexate-sulfasalazine-



hydroxychloroquine combination would be more effective in patients having failed methotrexate therapy given during the SWEFOT trial [20]. The 1-year rate of a DAS28 response ( $<3.2$ ) was higher with triple-drug therapy in the patients with an MBDA score  $\leq 38$  and was higher with infliximab in those with an MBDA score  $>38$  [20]. This interesting finding was not replicated in another study, and the MBDA tool is not yet available in Europe.

### 3.2.3. Flow cytometry biomarkers

Lymphocyte subset profiles may help to predict the treatment response. Responders to methotrexate had normal circulating monocyte counts, and absolute counts of CD14<sup>high</sup>CD16<sup>-</sup> or CD14<sup>high</sup>CD16<sup>+</sup> cells predicted the response to methotrexate after 3 and 6 months with over 70% sensitivity and over 88% specificity [21]. In another study, a low count of CD27<sup>+</sup> memory B cells emerged as a potential predictor of the response to rituximab [22]. Thus, the identification of lymphocyte or monocyte subset profiles may help to predict the treatment response. Nevertheless, this approach requires complex cytometric analyses and therefore remains better suited to research than to everyday clinical practice.

## 4. BIOMARKERS IDENTIFIED USING APPROACHES THAT REQUIRE NO A PRIORI KNOWLEDGE

Large-scale pharmacogenetic and pharmacogenomic approaches currently offer the greatest promise for identifying treatment response biomarkers. The growth of bioinformatics combined with the miniaturization of tools have made it possible to study the full spectrum of genome polymorphisms, mRNAs, mRNA transcripts (transcriptome), proteins (proteome), or metabolites (metabolome) of a body fluid or tissue at a given point in time (**Figure 1**). These methods have already proved useful in oncology and have been

converted to tests for everyday clinical practice [23]. They simultaneously investigate a vast number of parameters in the absence of a priori knowledge about their relevance, with the goal of selecting the candidates most likely to separate responders from nonresponders to a given treatment. As shown in **Figure 2**, several steps are needed to identify a combination of markers that predicts the treatment response. These large-scale approaches are extremely sensitive for identifying biomarkers not only for each drug class, but also for each drug within a given class. Our experience confirms results showing no overlap whatsoever among biomarkers predicting a response to infliximab, adalimumab, etanercept, tocilizumab, and abatacept [24].

#### **4.1. Pharmacogenetics**

Although SNP genotyping studies have identified many candidates, replication studies have had a high failure rate [14]. In 89 patients taking TNF $\alpha$  antagonists to treat RA, 317 000 SNPs representing about 87% of all polymorphisms in Europeans were genotyped [25]. Among them, 16, including three for the *PON1* gene, were associated with a EULAR treatment response at the level of the individual patient [25]. However, another study failed to replicate these findings in 151 different patients [26]. Data from the Dutch Rheumatoid Arthritis Monitoring (DREAM) registry were used to assess associations between the response to TNF $\alpha$  antagonists and 2 557 253 SNPs in 882 patients with RA [27]. Although 772 markers were associated with the TNF $\alpha$  antagonist response in the DREAM registry, only three SNPs (rs1568885, rs1813443, rs4411591) were validated in four independent cohorts including 1821 patients in all [27]. This study shows that, despite large sample sizes, results for genetic biomarker combinations often resist replication.

#### **4.2. Pharmacogenomics: transcriptomes**

The transcriptome is the collection of mRNAs or mRNA transcripts present in a cell or tissue at a given point in time. The abundance of each transcript can be determined and compared across individuals [28]. In a pilot study, we obtained the first evidence that a combination of eight transcripts predicted the response to the methotrexate-infliximab combination with 90% sensitivity, 70% specificity, 75% PPV, and 87.5% NPV [29]. Most of these transcripts had no known links to TNF $\alpha$  antagonists or RA, underlining the value of a priori approaches. An independent group then replicated our findings by showing that the transcript combination had 71% sensitivity and 61% specificity for a treatment response [30]. The interferon signature is the gene combination most often reported to predict the response to immunotherapy [31-35]. In the SMART study, 143 genes were differentially expressed in responders versus nonresponders to rituximab [31]. This gene signature correctly classified 93% of responders and 100% of nonresponders. It comprised overexpressed genes centered on the NF- $\kappa$ B signaling pathway, including IL-33 and the transcription factor STAT5A, and underexpressed genes of the interferon signaling pathway (*IFIH1*, *IFITM1*, *ISG20*, *PHF11*, *SP100*, and *TRIM22*) in the future rituximab responders [31]. IL-33 was then validated in an independent cohort of 185 rituximab-treated patients of whom 138 did and 47 did not respond. The odds ratio (OR) for a treatment response was 2.40 (95% confidence interval [95% CI], 1.01-5.72;  $p=0.047$ ) [32]. Combining IL-33, RF or ACPA, and IgG predicted the response with an OR of 29.61 (95% CI, 1.30-674.79;  $P=0.034$ ) relative to patients with none of the three criteria [32]. Other studies further support the use of the whole-blood transcriptome for predicting the treatment response [36, 37]. However, in an analysis of data from the public Gene Expression Omnibus (GEO) obtained from five independent transcriptome studies, the response to TNF $\alpha$  antagonists was predicted by a single gene, *GOS2*, encoding a protein involved in cell proliferation, apoptosis, inflammation, metabolism, and carcinogenesis [38]. Another study looked at the gene

combinations identified in eight transcriptome studies done to identify genetic features predicting the response to infliximab in 374 patients with RA, of whom 191 (51.1%) failed to respond [39]. The response was associated with only five genes (*FKBP1A*, *FGF12*, *ANO1*, *LRRC31*, and *AKR1D1*), which were found in only half (4/8) the combinations. These two metaanalyses illustrate the difficulties encountered in validating and replicating biomarker studies. These difficulties may be ascribable to differences in study methods, evaluation criteria, and bioinformatics approaches. However, the highly encouraging preliminary results demonstrate that transcriptome analysis can identify treatment response predictors. Studies in larger patient populations are needed to minimize the false-positive rate.

### **4.3. Pharmacogenomics: proteomes**

The proteome is composed of all the proteins found within a cell or tissue at a point in time. Proteomics involves the investigation of multiple parameters using mass spectrometry techniques (e.g., SELDI-TOF, MALDI-TOF, and iTRAQ). Surface-enhanced laser desorption and ionization (SELDI) applied to plasma samples from 60 patients with RA identified cinq proteins, including apolipoprotein A-1 and platelet factor 4, which had more than 97% sensitivity and specificity for predicting the response after 30 weeks of infliximab therapy [40]. A label-free quantitation method applied to mass spectra identified 12 proteins (CO7, PROS, TRFE, C1R, CERU, CPN2, IC1, ITIH1, ITIH3, S100A9, ZA2D, and PLMN) in 22 patients taking methotrexate and etanercept, of which two were then validated in 16 different patients as having 88.9% sensitivity and 100% specificity for predicting the treatment response [41, 42]. Proteins in serum samples from 50 etanercept-treated patients with RA were separated by 2D gel electrophoresis then analyzed by mass spectrometry [43]. Four proteins were differentially expressed in responders and nonresponders:

haptoglobin - $\alpha$ 1 and - $\alpha$ 2 and the vitamin D-binding protein were overexpressed in responders, whereas apolipoprotein C-III was overexpressed in nonresponders [43]. These studies establish proteomics as an effective method for identifying theranostic markers that can be easily assayed in everyday practice using simpler techniques such as ELISA.

#### **4.4. Pharmacogenomics: metabolomes**

The pattern of metabolites present in urine, serum, or tissues can be established using spectroscopy coupled with magnetic nuclear resonance or liquid-phase chromatography methods that take advantage of the magnetic properties of certain atomic nuclei [44-46]. A study in 7 responders and 9 nonresponders to 12 months of TNF $\alpha$  antagonist therapy investigated correlations linking urinary metabolites (histamine, glutamine, xanthurenic acid, and ethanolamine) to changes in the DAS28 [45]. In the BIOCURA cohort of 105 patients taking TNF $\alpha$  antagonists, combining four metabolites with several clinical parameters correctly classified 60% of patients by responder status [46]. All these studies were done in small numbers of patients.

## **5. THE MOST ROBUST BIOMARKERS**

### **5.1. TNF $\alpha$ antagonists (Table 1)**

Serum calprotectin (MRP8/14 protein complex) is associated with a good response to adalimumab and infliximab, as well as to rituximab [47, 48]. Combining calprotectin with other markers (baseline DAS28, HAQ score, and RF) correctly identified whether a TNF $\alpha$  antagonist or rituximab was best in 59% of situations and correctly predicted the response in 63% of cases [48]. Other serum biomarkers (CXCL13 and ICAM1) predicting the response to adalimumab were identified using synovial-tissue gene expression data then validated on

serum samples from a different patient cohort [49]. With adalimumab, the ACR50 response rate was 42% in ICAM<sup>high</sup>/CXCL13<sup>low</sup> patients compared to 69% in ICAM1<sup>low</sup>/CXCL13<sup>high</sup> patients [49]. The interferon signature is the only gene signature that has been proven useful for predicting the response to TNF $\alpha$  antagonists. Plasma interferon bioactivity (with an interferon  $\beta/\alpha$  ratio >0.8) combined with upregulated expression of interferon-related genes in neutrophils was associated with a good response to TNF $\alpha$  antagonists [50, 51].

## 5.2. Rituximab (Table 2)

Rituximab is the only drug for which treatment response biomarkers are available. These biomarkers are strongly related to B cells and include RFs, ACPAs, B-cell activating factor, the chemokine CCL19, and IgG [6, 52, 53]. Sound evidence exists that RFs, ACPAs, and IgG are associated with a good response to rituximab [22, 52]. In SMART study patients, downregulation of interferon pathway genes (*AXL*, *DHX58*, *IFIH1*, *IFITM1*, *ISG20*, *MICB*, *PHF11*, *SP100*, and *TRIM22*) in future responders correctly classified 92.6% of patients [31]. These findings were confirmed at the synovial tissue level: upregulation of genes associated with macrophages and T cells and downregulation of genes encoding interferon or remodeling pathway proteins were associated with a better response to rituximab [34]. A few SNPs, some of which were related to interferon (*IRF5* rs2004640, *SPP1* rs9138 and *TNFSF13B* rs9514828), were associated with the 24-week response to rituximab [35]. Thus, interferon-related biomarkers hold considerable potential for predicting the response to rituximab, even in patients taking corticosteroid therapy [33, 54]. An association linking downregulation of genes related to the interferon pathway and a good rituximab response was demonstrated in three studies [33-34]. Overexpression of three interferon-related genes (*IFI6*, *MX2*, and *OASL*) was associated with a good response to tocilizumab [37].

Low peripheral-blood counts of CD27<sup>+</sup> memory B cells were associated with a good response to rituximab after 24 weeks [22]. Peripheral-blood lymphocyte count >2910/ $\mu$ L or plasmablast count >2.85% was 93.3% sensitive and 44.8% specific in predicting failure to respond to rituximab within 6 months [55-57]. The usefulness of plasmablast counts for predicting failure to respond was confirmed in the patients of the DANCER, SERENE, and SCRIPT trials, in which an RNA signature expressed by plasmablasts (*IgJ* and *FCRL5*) identified nonresponders [58].

### **5.3. Abatacept**

A study of pooled data from nine European registries (including the French registry ORA) with over 2700 patients in all established that the presence of RF and/or ACPA was associated with a lower likelihood of premature abatacept discontinuation due to lack of efficacy [7]. In addition, the likelihood of achieving a remission within 6 months was higher in patients with fewer than 28/ $\mu$ L CD4<sup>+</sup>CD28<sup>-</sup> T cells and fewer than <87/ $\mu$ L CD8<sup>+</sup>CD28<sup>-</sup> T cells [59]. Although these data were not replicated in an independent patient population, we showed in 68 patients from the APRAISE study that a response to the methotrexate-abatacept combination was predicted by a signature enriched in genes that were significantly downregulated in responders versus nonresponders and that encoded electron transport chain pathway proteins (NDUFA6, NDUFA4, UQCRCQ, ATP5J, COX7A2, COX7B, and COX6A1) [36]. Of the 87 transcripts in this signature, four (*BLOC1S1*, *RNASE3*, *COX6A1*, and *PTRH2*) correctly classified patients with 75% sensitivity, 85% specificity, 75% PPV, and 85% NPV [42].

### **5.4. IL-6 receptor antagonists (Table 3)**

Studies of serum IL-6 levels as a potential predictor of the tocilizumab response have produced diverging results [60-61]. For instance, among patients with serum gp130 levels above 0.2 µg/mL, about 60% were in remission versus only 19% of patients not in remission, indicating fairly good discriminating power of gp130 [60]. Eight loci, none of which was related to RA or IL-6, were associated with the tocilizumab response. Two of these eight loci, which were SNPs related to *CD69* and *GALNT18*) were validated in another study [39, 62]. Expression in the synovial membrane of ICAM1<sup>high</sup>/CXCL13<sup>low</sup> was associated with failure to respond to tocilizumab, whereas ICAM1<sup>low</sup>/CXCL13<sup>high</sup> was associated with a tocilizumab response [49]. Finally, other biomarkers identified using transcriptomic approaches appear promising, such as genes related to interferon type 1 (*IFI6*, *MX2*, and *OASL*); the gene encoding metallothionein 1G; the gene for the TRAV8-3 protein involved in the CD8+ T-cell response; and the genes for the proteins EPHA4, CCDC32, and DHFR [37, 63]. No theranostic biomarkers for sarilumab have been reported to date.

### 5.5 JAK inhibitors

No biomarkers have been identified in published studies.

## 6. CONCLUSION

The number of theranostic biomarkers is very limited. Overall, diagnostic, prognostic, and pathophysiological biomarkers have insufficient discriminating power, the only exceptions being RFs and ACPAs for rituximab and abatacept. However, matrices built using these parameters constitute an innovative avenue of research for predicting the treatment response in individual patients. The transcriptome and proteome approaches requiring no *a priori* knowledge may be most likely to identify reliable biomarkers (Table



4). Nevertheless, attempts to replicate the results of studies identifying biomarkers usually failed, due to methodological differences and insufficient sample sizes. Integrative biology is a fast-expanding field that can be expected to identify combinations of parameters capable of predicting the response to various drugs. Personalized medicine thus constitutes a challenge for the near future given the growing number of available drugs.

**Disclosure of interest**

T.L. has received grants or fees for consultancy activities and other interventions from AbbVie, Bristol Myers Squibb, Chugai, Janssen, Lilly, Merck & Co, Novartis, Pfizer, Roche, Sanofi, SOBI, and UCB.

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**Table 1: Candidate markers for predicting the response to TNF $\alpha$  antagonists**

**Clinical and laboratory markers**

Younger age*	Smoking*	Lower BMI*	Male gender*
ACPA+*	RF*	Higher CRP *	Erosions*
MTX*	IgA RF*	Antinuclear antibody*	anti-Ro
Anti-infliximab antibody before etanercept			anti-LA
Serum cortisol/ACTH ratio		HAQ score	

**Genetic markers (SNPs)**

- Fc gamma receptor 3A (158 V > F, FF genotype)\*
- Fc gamma receptor 2A (131 H > R, RR genotype)
- IL-6 promoter (174G >C, GG genotype)\*
- TNF promoter (308 G > A, GG genotype)\*
- sIL-6R A/C (AA+AC genotype)
- TNF- $\alpha$  promoter (857C/T, CT + TT genotype)
- TNF- $\alpha$  promoter (238 G/A, GG genotype)
- IL1 $\beta$  (-3954 C/T, CC genotype)
- IL1-RN (-2018 T/C, TT genotype)
- Shared epitope, carrier*
- HLA-DRB1
- MAKK14 (rs916344) C/G
- MAP2K6 (rs11656130) T/G
- RPS6KA4 (rs475032) G/C
- RPS6KA5 (rs1286112) C/G
- MAP2K6 (rs2716191) T/C

RPS6KA5 (rs1286076) C/T  
MAPKAPK2 (rs4240847) C/A  
TRAILR1 G/C (genotype CC genotype)  
TNFR1A A/G (AA genotype)  
LTA+ 720 C/A (CC genotype)  
IL-10-1087 A/G (AA genotype)  
TNFR2-codon 196 T/G (TT genotype)  
PTGS2 G/A (GG genotype)  
NFkBIB rs3136645 T/C (TT genotype)  
TNFi TLR-2 C/G (CC genotype)  
NFkBIB rs9403, G/C (GG genotype)  
IRAK-3 T/A (TA genotype)  
CHUK (GG genotype)  
MyD88 A/G (AG genotype)  
TLR-10/1/6 A/C (CC genotype)  
IKBKB A/C (AC genotype)  
MIF 173G/C, C-allele carrier  
MIF (CATT)<sub>n</sub> repeat, CATT-7  
TNFRSF1B-196 M/R (MM genotype)  
FCGR3A-212V/F (FF genotype)  
TNFSF1b 676T/G (GT genotype)  
PDE3A-SLCO1C1  
*CD84*  
rs10919563 G > A related to the *PTPRC* gene  
*PONI*

rs1568885, rs1813443, rs4411591

### **Transcripts or proteins**

Apolipoprotein A-1

Platelet factor 4

Higher serum MRP8/14 ratio

IgG antibodies to mutated citrullinated vimentin MRP8/14

Anti-CEP antibodies

Anti-FBP antibodies

IL-1 $\beta$  >4.84 pg/mL

MMP3\*

COMP\*

RANKL\*

RANKL/OPG\*

GOS2

FKBP1A, FGF12, ANO1, LRRC31, AKR1D1

CO7, PROS, TRFE, C1R, CERU, CPN2, IC1, ITIH1, ITIH3, S100A9, ZA2D,

### **PLMN**

Haptoglobins-  $\alpha$ 1 and  $\alpha$ 2,

Vitamin D-binding protein

Apolipoprotein C-III

interferon  $\beta/\alpha$  ratio >0.8

### **Cytometric markers**

ICAM<sup>high</sup>/CXCL13<sup>low</sup>

### **Histological markers**

Lymphocyte aggregates

## **Metabolomic markers**

histamine, glutamine, xanthurenic acid, ethanolamine

sn1-LPC(18:3- $\omega$ 3/ $\omega$ 6), sn1-LPC(15:0), ethanolamine, and lysine

**Table 2: Candidate markers for predicting the response to rituximab**

**Clinical and laboratory markers**

lower BMI	MTX*	ACPA+*	Positive RFs*	Erosions*
IgA RF*	EBV*	IgG <6 g/L or >12 g/L		IgA>2.69 g/L

**Gene markers**

SNP of the Fc gamma receptor 3A (158 V > F, VV genotypes)\*

SNP of BAFF (871 C>T, CC genotype)

SNP related to the IL-6 promoter (174G > C, GC and CC genotypes)

SNP related to the TNF promoter (308 G > A, GA genotype)

SNP TGFβ1 (10 T > C, TC genotype)

SNP TGFβ1 (25 G > C, GC genotype)

Anti-CMV antibodies

**Transcripts or proteins**

Higher serum MRP8/14 ratio

Downregulated expression of interferon-related genes (whole blood)\*

miRNA125B (whole blood)

IgG antibodies to mutated citrullinated vimentin MRP8/14

Signaling pathway centered on NF-κB

IL-33

STAT5A

Interferon signaling pathway (*IFIH1, IFITM1, ISG20, PHF11, SP100, TRIM22*)

Interferon signature (*LY6E, HERC5, IFI44L, ISG15, MxA, MxB, EPSTI1 et RSAD2*)

**Cytometric markers**

Lower count of CD27<sup>+</sup> memory B cells

## **Metabolomic markers**

phenylalanine, 2-hydroxyvalerate, succinate, choline, glycine, acetoacetate, tyrosine



**Table 3: Candidate markers for predicting the response to tocilizumab**

**Clinical and laboratory markers**

Younger age    Current smoking                      Lower BMI\*  
Higher CRP\*    High-titer RFsMTX

**Genetic markers**

SNP related to IL-6  
SNP related to IL-6R  
Rs703505    Rs11052877    Rs49100008    Rs9598957    Rs10108210  
Rs703297    Rs1560011    Rs7055107

**Transcripts or proteins**

IL-6              gp130              IL-17              eotaxin              IL-8              VEGF  
interferon- $\gamma$ -induced protein 10              TNFR-I              TNFR-II

**Cytometric markers**

Low count of CD27<sup>-</sup>IgD<sup>-</sup> B cells  
High count of Treg cells  
NK CD3<sup>-</sup>CD56<sup>+</sup> cells

**Table 4: Most robust biomarkers for predicting the treatment response**

**To TNF $\alpha$  antagonists**

calprotectin MRP8/14

interferon signature

**To rituximab**

IL-33

FR, ACPA, and IgG level

**To abatacept**

RF and/or ACPA

## **FIGURE LEGENDS**

### **Figure 1: Large-scale exploratory methods requiring no a priori knowledge**

### **Figure 2: Identification of biomarkers predicting the treatment response**

The identification of biomarkers capable of predicting the treatment response, or theranostic markers, involves the following steps: (i) large-scale scans in responders and nonresponders to identify the most likely candidates; (ii) validation studies of combinations in a different patient population using simpler tools that are more appropriate for a limited number of biomarkers (e.g., RT-PCR or ELISA); (iii) and evaluation of the clinical relevance of the markers thus identified in different patients and in formal clinical trials.

Figure 1↑

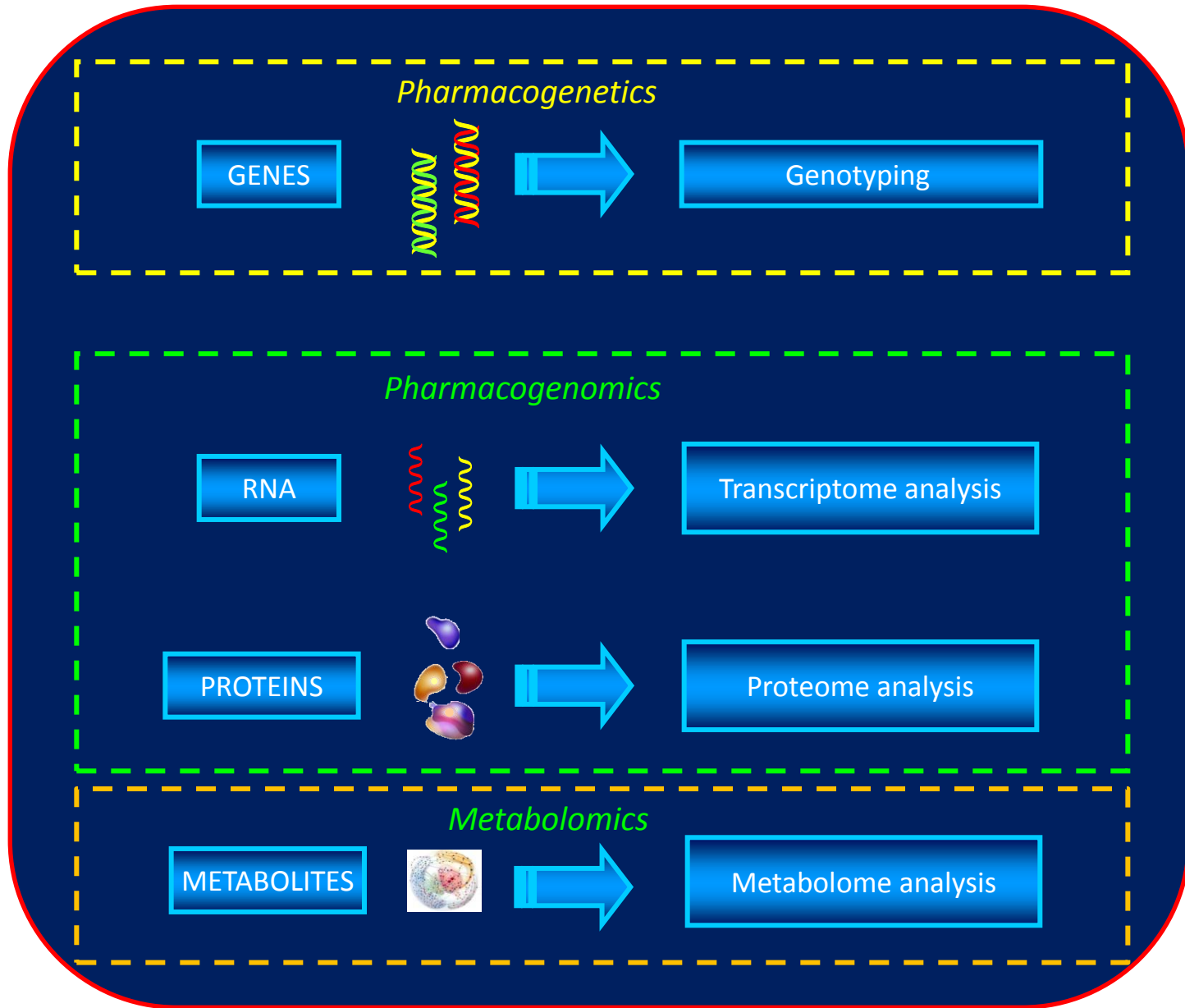


Figure 2↑

